Finansinspektionen's Regulatory Code

Publisher: Chief Legal Counsel Eric Leijonram, Finansinspektionen, Sweden, www.fi.se
ISSN 1102-7460

FFFS 2023:5
Published on
27 February 2023

Regulations
amending Finansinspektionen's regulations and general guidelines (FFFS 2014:5) regarding information security, IT operations and deposit systems;

decided 21 February 2023.

Finansinspektionen prescribes pursuant to Chapter 5, section 2, point 5 of the Banking and Financing Business Ordinance (2004:329) that Chapter 1, section 2 of Finansinspektionen's regulations and general guidelines (FFFS 2014:5) regarding information security, IT operations and deposit systems shall have the following wording.

Chapter 1

Section 2 These regulations apply to

1. banking companies,
2. savings banks,
3. members' banks,
4. credit market companies,
5. credit market associations,
6. securities companies as referred to in Chapter 1, section 2, first paragraph, point 7 c–g of the Credit Institutions and Investment Firms (Special Supervision) Act (2014:968), and
7. undertakings with authorisation to conduct clearing operations in accordance with Chapter 19 of the Securities Market Act (2007:528).

The provisions set out in Chapters 1–3, in accordance with Chapter 3, section 4 of the Special Supervision of Credit Institutions and Investment Firms Act (2014:968), shall be applied at group or subgroup level.

The provisions set out in Chapter 4 do not apply to undertakings referred to in the first paragraph, point 7.

These regulations shall enter into force on 08 March 2023

SUSANNA GRUFMAN

Emilia Dehlin